

12/03/01

1054 U.S. PTO

10006726-130301

Applicant or Patentee: DAVID C. Schwartz
 Serial or Patent No.: TO BE assigned
 Filed or Issued: 12/3/01
 In: BINDER view Pocket

ET 121741473 US
 Attorney's Docket No.: DCSBK6NDPK-02

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
 (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN PRODUCTIVE ENVIRONMENTS INC.
 ADDRESS OF CONCERN 945 Concord St.
Fremington MA 01701

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled

BINDER view Pocket

by inventor(s)

described in

DAVID C. Schwartz

- ☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME N/A
 ADDRESS N/A

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME N/A
 ADDRESS N/A

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING DAVID C. Schwartz
 TITLE OF PERSON OTHER THAN OWNER CEO
 ADDRESS OF PERSON SIGNING 55 FLAG RD

SIGNATURE David C. Schwartz DATE 12/3/01

DECLARATION FOR PATENT APPLICATION

Docket No. PCSBKBDPK-C2

As a below named inventor, I hereby declare that:

EXPRESS LABEL # ET12174 473 U 5

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled BINDER VIEW POCKET, the specification of which

(check one) ☒ is attached hereto.

☐ was filed on _____

Application Serial No. _____ as

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
<u>N/A</u>				
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>08/763,551</u>	<u>Dec 10, 1996</u>	<u>Abandoned</u>
(Application Serial No.)	(Filing Date)	(Status—patented, pending, abandoned)
<u>08/197,217</u>	<u>Feb 16, 1994</u>	<u>Abandoned</u>
(Application Serial No.)	(Filing Date)	(Status—patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Address all telephone calls to David C. Schwartz at telephone no. 508 481 9990
 Address all correspondence to David Schwartz/PEI
P.O. Box 109
Southboro, MA 01772

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor DAVID C. SCHWARTZ
 Inventor's signature David C. Schwartz Date 12/3/01
 Residence 55 FLAG RD Southboro, MA Citizenship USA
 Post Office Address P.O. Box 109
Southboro MA 01772

Full name of second joint inventor, if any N/A
 Second Inventor's signature N/A Date _____
 Residence _____ Citizenship _____
 Post Office Address _____

Full name of sole or first inventor _____
 Inventor's signature _____ Date _____
 Residence _____ Citizenship _____
 Post Office Address _____

Full name of second joint inventor, if any _____
 Second Inventor's signature _____ Date _____
 Residence _____ Citizenship _____
 Post Office Address _____

(Supply similar information and signature for third and subsequent joint inventors.)